Level 7 (Standalone Qualification)

Senior Investment Professional

Talent Development Programme for Retail and Private Client Wealth Management

FSTP is the trading name of Financial Services Training Partners LLP.
Registered No OC372081

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Programme Overview

Welcome to the Level 7, Senior Investment Professional.

In the 18 -24 months it takes to complete the programme, participants will focus on developing the skills needed in senior management roles. They will cover topics such as strategic planning & insight, change management, continuous improvement, problem solving, decision making and networking, teamwork & collaboration, building impactful relationships and developing themselves & others.

Our Senior Investment Professional (Level 7) programme blends outstanding face-to-face training with dedicated workplace coaching and on-the-job experience to enable a participant to develop the practical skills, knowledge and behaviours expected of a highly competent professional in Wealth and Private Client Management. In addition, participants will work towards a recognised professional qualification.

Awarding Body Partners

Standard	Senior Financial Services Professional
Qualification Level	7
Duration	Typically, this programme will take 18- 24 months to complete
Entry Requirements	RDR compliant Level 4 qualification

Programme Pathway

Below is a sample of career progression based on this apprenticeship within Financial Services.

Level 7

Senior Investment Professional

Typical Job Roles

These are just a few examples of the typical roles that would suit the level 7 Senior Financial Services Professional programme;

- Senior Private Client Investment Manager
- Senior Financial Planner

This programme is ideal for experienced employees who have completed Level 6 and are now ready to step-up to Senior Management positions.

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Professional Qualifications Available

CHARTERED INSTITUTE FOR SECURITIES & INVESTMENT

Chartered Institute For Securities and Investments

CISI Chartered Institute – Chartered Manager Qualification Level 7.

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Modules

Module 1 Communication and Influencing – 1 day	**Module 7** SM&CR Roles and Responsibilities - 1 day
Module 2 Team Building (away day) – 1 day	**Module 8** Culture and Ethics – 1 day
Module 3 Conflicts and Problem Solving – 1 day	**Module 9** Conduct Risk Identification – 1 day
Module 4 Building Client Relationships(Incl. suitability, TCF & Ethical Treatment – 3 days	**Module 10** Good Governance to include committee structures roles and responsibilities - 1 day
Module 5 Time Management & Managing Others – 2 days	**Module 11** Product Governance and continued client focus – 1 day
Module 6 Strategic Planning/Project Management – 2 days	**Examination Module** 8 days preparation for Financial Markets 8 days preparation for Portfolio Construction Theory 8 days preparation for Applied Wealth Management
Note Modules 1 – 11, (15 days of training), are required in order to demonstrate the required knowledge, skills and behaviours of the Apprenticeship standard and incur an additional cost of £250 per delegate per day based upon a minimum number of 8 delegates (in house). Total cost for all 11 modules will be £3750 per delegate. **NB. In house activity or organised events can be assessed as valid learning off the job.**	**Note** Only examination preparation (total of 24 days) and mentoring activity detailed below can be paid for from levy or Government apprenticeship funding. Any additional retakes of exams also requires additional funding

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Learner Journey

Each programme is designed to accommodate the needs of the participant, their job and the business, so the delivery schedule will reflect this. However, an example of a participant's journey through the Senior Investment Professional programme, is shown below.

Blended Learning

Mentor Visit
An FSTP mentor will visit each participant on a regular basis to provide support in the workplace, alongside the participants internal support mechanisms, i.e. workplace manager and/or mentor.

Masterclasses
Industry experts will deliver face to face sector specific masterclasses and coaching sessions to provide opportunities to stretch and challenge participants.

E-learning
Each participant will have individual access to an e-learning environment where additional learning materials can be accessed.

Professional Qualification Resource Workbooks and Online access

Introduction Session

2 Hour Sessions Bi-monthly

Mentor Visits, plus monthly remote touch points

2 Hour Sessions Bi-monthly

Mentor Visits, plus monthly remote touch points

End Point Assessment
Work-Based Project – 5500 words

Portfolio of Evidence to include apprentice journal and examples of work based evidence

Panel Interview based on the Portfolio of Evidence

Months 1- 2

Months 3-18

Months 18 - 24

Senior Investment Professional L7 Apprenticeship Certificate

Awarded!

Exam preparation – 24 days for exams in Dec or June

Training – Modules 1 -11 (total 15 day recommended as additional, required activity)

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20% Off-the-job Training

Our programme is designed to help facilitate off-the-job learning, so we can support the candidates in evidencing the 20% off-the-job training which they are required to complete within working hours.

Many employers have concerns about operational implications associated with off-the-job training. However, it doesn't need to be an issue. See the table below for some suggestions of off-the-job training your participants can undertake.

Please do get in touch with any queries and a member of our team will be happy to help.

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Activity	Example of valid off-the-job training
Classroom sessions / lecture	Block or day release
Workshops and masterclasses	Interactive workshops involving employers
Simulation exercises	Business models and gaming
Online learning	Online training modules and support materials
Shadowing	In work or new departments / locations
Coaching	Support from Line Managers/ colleagues
Industry visits	Within sector or outside of work roles

Please note the above examples are not an exhaustive list, so please contact us today to discuss further.

Assessment

This programme consists of two main types of assessments:

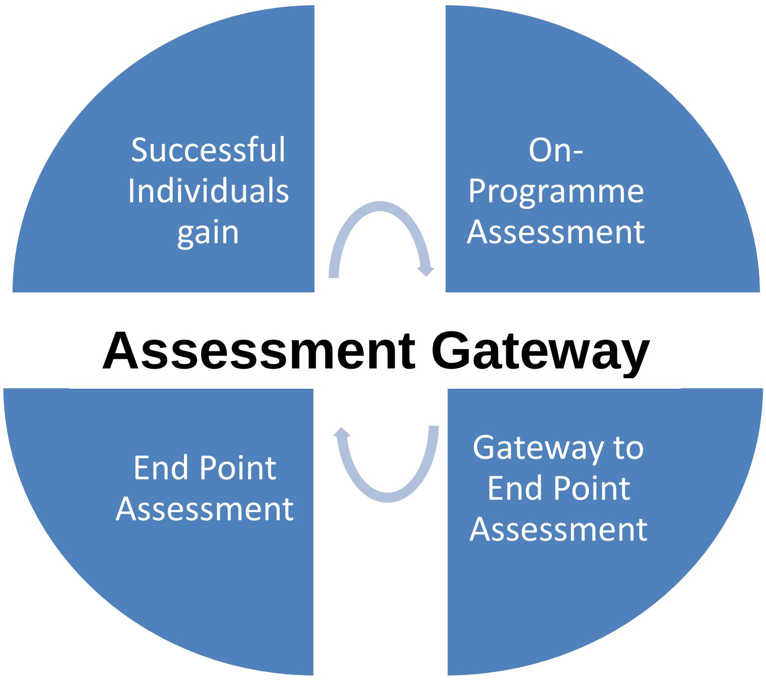
On-programme assessments

&

End point assessment (EPA)

The on-programme assessment will involve you, (the employer) and FSTP (your training provider). This assessment will involve each participant developing a portfolio that demonstrates their application of learning and development activities within the workplace.

The assessment is ongoing throughout the programme and apprentices will be provided with 360-degree feedback throughout their development of the portfolio.



Assessment

End Point Assessment

The first thing to note is that your chosen training provider cannot deliver your end point assessment. This must be done through a totally separate organisation.

The choice of which End Point Assessment organisation you decide to use is yours, but we can certainly offer guidance as to where you can find the details of approved organisations.

It is our aim to make sure that you work with an End Point Assessment organisation that supports your business, the participants and the job role, all to provide you with the confidence that the training and qualifications are best suited to your objectives.

The Future of Training Provision

We are using our industry knowledge and expertise to provide a range of apprenticeship programmes to the Financial Services industry that will provide participants with the high standards of training and support that we already provide to Financials Services professionals via our training solutions and professional qualification support.

Developing your career with FSTP

Our Apprenticeship programmes are designed with career pathways in mind, taking professionals through GCSE level right up to attaining a degree level professional qualification.

With our expertise within financial services we aim to provide our participants with fundamental skills that will allow the high performing candidates to progress in multiple directions – depending on their career aspirations and development opportunities in your business.

What are your next steps?

If you would like to find out more about our Level 7 Senior Investment Professional, then please contact us.

We would be happy to answer any questions you have about the apprenticeship training we can provide.

Speak to one of our team today!

Call:

0203 178 4230

Email:

apprenticeships@fstp.co.uk

Website:

www.fstp.co.uk