



Level 6

Financial Services Professional

Talent Development Programme for Retail and
Private Client Wealth Management



Programme Overview

Welcome to FSTP’s Level 6, Financial Services Professional.

We have designed and developed a unique programme using our experience and expertise within financial services.

In the 36 - 42 months it takes to complete the programme, participants will develop a thorough understanding of topics such as conflict resolution, problem solving, time management, managing others, team building, communication & influencing, strategic planning & project management and building impactful relationships.

Our Financial Services Professional (Level 6) programme blends outstanding face-to-face training with dedicated workplace coaching and on-the-job experience to enable a participant to develop the practical skills, knowledge and behaviours expected of a highly competent professional in Wealth and Private Client Management. In addition, participants will work towards a recognised professional qualification.

Awarding Body Partners



Standard	Financial Services Professional
Qualification Level	6
Duration	Typically, this programme will take 36-42 months to complete
Entry Requirements	<ul style="list-style-type: none"> • RDR compliant level 4 qualification (see options) • Resident in the UK/EEA/EU for the last 3 years. • Able to meet the programme module requirements through your current role.

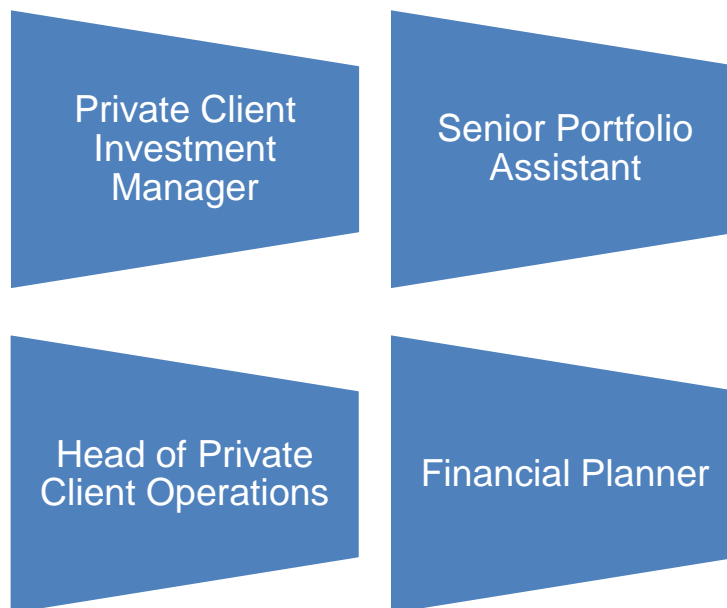
Programme Pathway

Below is a sample of career progression based on this apprenticeship within Financial Services.



Typical Job Roles

These are just a few examples of the roles that would suit the level 6 Financial Services Professional programme;



This programme is ideal whether you are an experienced member of staff looking to forge a new career in an investment role, or you are just starting out and looking for a basis for your graduate training.

Professional Qualifications Available



Chartered Institute For Securities & Investments

CISI Chartered Institute - The Certificate in Private Client Investment Advice and Management (PCIAM) Level 6



Modules

<p>Module 1</p> <p>Communication and Influencing – 1 day</p>	<p>Module 5</p> <p>Time Management & Managing Others – 2 days</p>
<p>Module 2</p> <p>Team Building (away day) – 1 day</p>	<p>Module 6</p> <p>Strategic Planning/Project Management – 2 days</p>
<p>Module 3</p> <p>Conflicts and Problem Solving – 1 day</p>	<p>Exam Preparation – 8 days (PCIAM)</p> <ul style="list-style-type: none"> • Full syllabus walk through • Highlight areas of common issues for candidates • Case studies aligned to business activity to amplify learning and application to real life scenarios post exam • Example questions and mini exam included • Access to practitioners and ability to ask questions of tutor
<p>Module 4</p> <p>Building Client Relationships (Incl. suitability, Treating Customers Fairly (TCF) & Ethical Treatment) – 3 days</p>	<p>Optional extras at additional cost;</p> <p>Option 1 Programme to include examination preparation for Investment Advice Diploma (IAD) 3 Units total of 6 face to face study days. Cost £1650 plus VAT, per head, dependant on numbers</p> <p>Option 2 Programme to include 6 days examination preparation for Financial Markets as part of the Chartered Wealth Manager Programme. This option is recommended if delegates are progressing to Senior Investment Professional Apprenticeship Level 7 after successful completion of the Level 6 qualification. Cost £1900 plus VAT, per head, dependant on numbers</p>

Learner Journey

Each programme is designed to accommodate the needs of the participant, their job and the business, so the delivery schedule will reflect this. However, an example of a participant's journey through the Financial Services Professional programme, is shown below.

Blended Learning



Mentor Visit

An FSTP mentor will visit each participant on a regular basis to provide support in the workplace, alongside the participants internal support mechanisms, i.e. workplace manager and/or mentor.



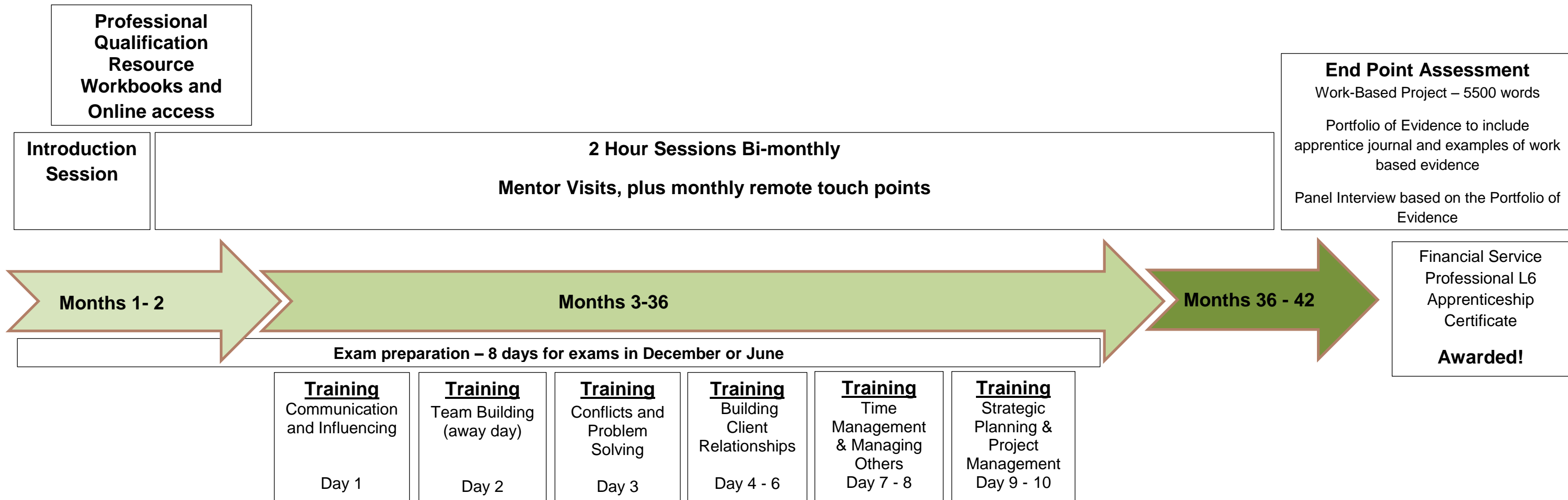
Masterclasses

Industry experts will deliver face to face sector specific masterclasses and coaching sessions to provide opportunities to stretch and challenge participants.



E-learning

Each participant will have individual access to an e-learning environment where additional learning materials can be accessed.



20% Off-the-job Training



Our programme is designed to help facilitate off-the-job learning, so we can support the candidates in evidencing the 20% off-the-job training which they are required to complete within working hours.

Many employers have concerns about operational implications associated with off-the-job training. However, it doesn't need to be an issue. See the table below for some suggestions of off-the-job training your participants can undertake.

Please do get in touch with any queries and a member of our team will be happy to help.

0203 178 4230

<u>Activity</u>	<u>Example of valid off-the-job training</u>
Classroom sessions / lecture	Block or day release
Workshops and masterclasses	Interactive workshops involving employers
Simulation exercises	Business models and gaming
Online learning	Online training modules and support materials
Shadowing	In work or new departments / locations
Coaching	Support from Line Managers/ colleagues
Industry visits	Within sector or outside of work roles

Please note the above examples are not an exhaustive list, so please contact us today to discuss further.

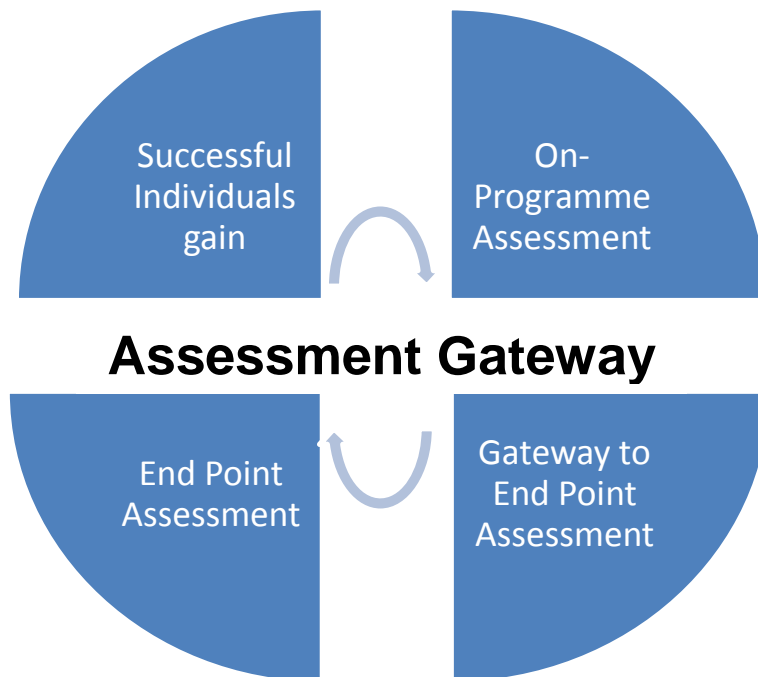
Assessment

This programme consists of two main types of assessments:

On-programme assessments
&
End point assessment (EPA)

The on-programme assessment will involve you, (the employer) and FSTP (your training provider). This assessment will involve each participant developing a portfolio that demonstrates their application of learning and development activities within the workplace.

The assessment is ongoing throughout the programme and apprentices will be provided with 360-degree feedback throughout their development of the portfolio.



Assessment

End Point Assessment

The first thing to note is that your chosen training provider cannot deliver your end point assessment. This must be done through a totally separate organisation.

The choice of which End Point Assessment organisation you decide to use is yours, but we can certainly offer guidance as to where you can find the details of approved organisations.

It is our aim to make sure that you work with an End Point Assessment organisation that supports your business, the apprentices and the job, all to provide you with the confidence that the training and qualifications are best suited to your objectives.



The Future of Training Provision



We are using our industry knowledge and expertise to provide a range of apprenticeship programmes to the Financial Services industry that will provide participants with the high standards of training and support that we already provide to Financials Services professionals via our training solutions and professional qualification support.

Developing your career with FSTP

Our Apprenticeship programmes are designed with career pathways in mind, taking professionals through GCSE level right up to attaining a degree level professional qualification.

With our expertise within financial services we aim to provide participants with fundamental skills that will allow the high performing candidates to progress in multiple directions – depending on their career aspirations and development opportunities in your business.

What are your next steps?

If you would like to find out more about our Level 6 Financial Services Professional programme, then please contact us.

We will be happy to answer any questions you have about the apprenticeship training we can provide.

Speak to one of our team today!

Call:

0203 178 4230

Email:

apprenticeships@fstp.co.uk

Website:

www.fstp.co.uk