

# Open Course Schedule



January — April 2019

Board & Senior Management Briefings				
<b>Tues 15<sup>th</sup> January</b>	Evidencing Effective Board Reporting	Simon Griffiths	2 hours	£295
<b>Tues 22<sup>nd</sup> January</b>	Directors Guide To Surviving An FCA/PRA Visit	Simon Griffiths	2 hours	£295
<b>Thurs 24<sup>th</sup> January</b>	The Senior Managers & Certification Regime – The Implications For FCA Solo Regulated Firms	Richard Galley	2 hours	£295
<b>Tues 29<sup>th</sup> January</b>	Ensuring Your Audit Committee Is Ready For SMCR	Eithne McManus	2 hours	£295
<b>Tues 5<sup>th</sup> February</b>	Culture – The Boards Secret Weapon	Richard Galley	2.5 hours	£375
<b>Tues 12<sup>th</sup> February</b>	Taking Reasonable Steps	Lorraine Mousley	2 hours	£295
<b>Weds 20<sup>th</sup> February</b>	Product Management and Governance For Insurance	Richard Galley	1 day	£595
<b>Thurs 21<sup>st</sup> February</b>	Cryptocurrency and Digital Asset Compliance	Azy Shojaeian	3 hours	£395
<b>Tues 26<sup>th</sup> February</b>	Developing Successful Strategies	Richard Galley	2.5 hours	£375
<b>Thurs 28<sup>th</sup> February</b>	Understanding The World of Insurance	John Constable	3 hours	£395
<b>Tues 5<sup>th</sup> March</b>	Growing The Business – Learn The Vitals of Growth Leadership	Colum Smith	2.5 hours	£375
<b>Tues 12<sup>th</sup> March</b>	Financial Management Oversight	Phil Ingle	2.5 hours	£375
<b>Tues 26<sup>th</sup> March</b>	Understanding & Delivering Change	David Coombes	2 hours	£295
<b>Thurs 28<sup>th</sup> March</b>	How To Conduct & Manage Your Own Board Evaluations	John Williams	3 hours	£395
<b>Tues 2<sup>nd</sup> April</b>	The Senior Managers & Certification Regime – The Implications For Firms In Insurance	John Constable	2 hours	£295
<b>Tues 9<sup>th</sup> April</b>	Directors Guide To Surviving An FCA/PRA Visit	Simon Griffiths	2 hours	£295
<b>Tues 16<sup>th</sup> April</b>	Effective Minute Taking	Lorraine Mousley	2 hours	£295
<b>Thurs 25<sup>th</sup> April</b>	What It Means To Supervise Certified People	Lorraine Mousley	3 hours	£395

Compliance, Risk & Audit				
<b>Weds 30<sup>th</sup> January</b>	Preventing, Detecting and Investigating Financial Crime	Martin Allen	1 day	£595
<b>Thurs 31<sup>st</sup> January</b>	Satisfying Your MiFID II Suitability Requirements	Matt Moriarty	3 hours	£395
<b>Thurs 7<sup>th</sup> February</b>	Risk Management Oversight	Eithne McManus	3 hours	£395
<b>Thurs 14<sup>th</sup> February</b>	Evidencing The Fair Treatment of Vulnerable	Sanjay Ganatra	4 hours	£450

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*Please Turn Over*

	Customers			
<b>Weds 27<sup>th</sup> February</b>	Retail Conduct Risk & Culture	John Williams	1 day	£595
<b>Weds 6<sup>th</sup> March</b>	How Financial Markets Really Work	Jo Kirby	1 day	£595
<b>Thurs 7<sup>th</sup> March</b>	Information Givers In Wealth Management	Jo Kirby	1 day	£395
<b>Thurs 14<sup>th</sup> March</b>	Validating Your CASS Arrangements	Mark Lester	3 hours	£395
<b>Weds 20<sup>th</sup> March</b>	Complaints Handling	Phil Dockerill	1 day	£595
<b>Thurs 21<sup>st</sup> March</b>	Operational Risk & Resilience	Simon Griffiths	3 hours	£395
<b>Thurs 11<sup>th</sup> April</b>	Insurance Distribution Directive (IDD) – An Overview	Richard Galley	3 hours	£395
<b>Tues 30<sup>th</sup> April</b>	Regulation and Compliance – The Fundamentals For Insurance	John Constable	1 day	£595
<b>Weds 1<sup>st</sup> May</b>	The First Principles of Regulation and Compliance	Ian Richardson	1 day	£595

HR & Training & Competence				
<b>Weds 6<sup>th</sup> February</b>	Increasing Responsibilities For HR In Financial Services	Philippa Grocott	1 day	£595
<b>Weds 27<sup>th</sup> March</b>	Managing T&C In A Changing Regulated Environment To Meet SMCR Requirements	Richard Galley	1 day	£595

Personal Development				
<b>Thurs 28<sup>th</sup> March</b>	Effective Report Writing	David Cotton	1 Day	£595

Qualification Study Support				
<b>CISI Chartered Wealth Manager (3 papers)</b>	Ian Richardson & Jo Kirby	Various	Contact us	
<b>CISI Private Client Investment Advice &amp; Management (1 paper) – Starting in September</b>	Ian Richardson	Various	Contact us	
<b>CISI Investment Advice Diploma (5 papers)</b>	Ian Richardson	Various	Contact us	
<b>CISI Corporate Finance Qualification (CFq)</b>	Kevin Tilley & Scott Boath	Various	Contact us	

**Bookings of 2 or more people made at the same time, onto the same course, will receive a 10% discount on the course fee**

*(All course dates and prices are subject to revision at any time.)*

*(Prices shown are exclusive of VAT)*

#### To Book

To make a booking or speak to us about your needs, please contact us:

*Call*

0203 178 4230

*Email*

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*Online*

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