CISI Financial Planning Qualification Pathway

Study Support for 2020 Exams

Certificate in Paraplanning – Level 4

Aimed at those who are already working as a Paraplanner, but who wish to develop their skills in preparation for a move to a Financial Planner role. This qualification ensures you have the right balance of technical knowledge and skills to perform your role.

There are two exam papers:

- Investment, Risk & Taxation
- Financial Planning and Advice

Both are examined by computer based testing, with a total estimate of 263 hours recommended study.

Investment Advice Diploma (IAD) - Level 4 and RDR compliant

As part of the IAD qualification, the Financial Planning and Advice paper has been designed to be RDR compliant and meet the requirements for those advising on retail investment products and friendly society tax-exempt policies (FCA activities 4 and 6). For those wishing to pursue a career in financial planning, or wealth managers wanting to broaden their knowledge, this route provides solid coverage of key principles and technical knowledge.

There are three exam papers:

- UK Regulation and Professional Integrity
- Investment, Risk and Taxation
- Financial Planning and Advice **OR**
- Retail Advice & Planning (not currently FCA approved)

All are examined by computer based testing, with a total estimate of 460 hours recommended study.

Diploma in Advanced Financial Planning – Level 7

Leading to Certified Financial Planner™ certification, this specialist qualification will test your application of the knowledge and skills you already hold to produce a financial plan.

There are 2 parts to this qualification:

- **Certificate in Advanced Financial Planning – Level 6**
  Recommended 200 hours of study to prepare for the 3 hour narrative style exam which can be taken in March and September.

- **Financial Plan Case Study – Level 7**
  You will be issued with a case study and given 10 weeks to submit your plan. Assessment takes 12 weeks; with feedback given on the plan should you not meet the criteria within the marking standards. You can re-submit your plan within specific deadline.

With an estimated 400-700 hours of recommended study time this route is for those with a strong background in financial planning who want to challenge themselves and stand out from the crowd.

Full details of the syllabus content, eligibility criteria and factsheets for each qualification can be found on the CISI website – www.cisi.org

Contact Us

To discuss your needs and how we can support you or your firm please contact us:

0203 178 4230 info@fstp.co.uk www.fstp.co.uk

Why choose us?

As a CISI Premier Accredited Training Partner, we believe the benefits to those who choose to study with us are:

- Direct access to tutors and an FSTP Client Relationship Manager
- Experienced tutors with a financial services background. (Our lead tutor has already taken and passed the Financial Planning & Advice paper so has a deep understanding of the syllabus and exam expectations)
- Access to a variety of study options

Study Options

IAD - Financial Planning and Advice

Classroom based revision

2 day classroom based intensive revision tuition - ideal for those who have already prepared using the CISI workbooks and are looking to embed their knowledge. Small group sizes of up to 12 people.

Firm specific in-house options

Classroom revision - As per the classroom based revision above but delivered at your offices. This option is perfect for those not wanting to travel and wishing to revise in the comfort of their own office or those who have already prepared using the CISI workbooks and wish to study in small group sizes of up to 12 people.

Classroom based study programme – An intensive 3 day course. The programme includes homework and evening activity to maximise the students' learning and increase their chances of passing.

Individual coaching - via telephone call or Skype, allowing students to discuss any concerns directly with a tutor.

Your Tutor

Lead Tutor - Ian Richardson M.C.S.I has become a leading figure in the provision of tuition and one-to-one coaching for the higher level RDR qualifications and we frequently receive messages of personal thanks from his candidates. Since 2002 he has been engaged in a mix of compliance and training assignments for major clients of FSTP.

He is particularly skilled at analysing complex information and drawing upon his experience and knowledge of the industry to arrive at practical solutions. He also communicates information clearly and ensures that key messages are communicated at the right level to the right audiences.

Through his work, Ian is very familiar with the FCA's requirements for firms and for senior management and outside of his qualification teaching, Ian delivers courses on Market Abuse, Offshore Bonds, Taxation and SM&CR.
He is an Associate of the Chartered Institute of Bankers, holds the Financial Planning Certificate and Chartered Investments and Securities Institute Level 6 PCIAM and Level 7 Chartered Wealth Manager qualifications.

Prices

Financial Planning and Advice

2 day revision course	23rd & 24th March 2020	£550 per person
In-house 2 day revision	As agreed - maximum of 12 students	£4250
In-house 3 day study course	As agreed – maximum of 12 students	£6375
1:1 coaching – 2 hour session	Via telephone or Skype	£350

Prices exclude VAT and all related CISI exam fees, workbooks and e-learning which must be purchased directly from the CISI