Evidencing the Effectiveness of Good Governance

Overview

The Financial Crisis of 2008 brought the quality and competence of boards and their Senior Managers into sharp focus. The resulting move to the new Senior Manager Regime for banks and certain other dual regulated firms only amplifies the need to make sure you have the right "tone from the top". The briefing will provide a workshop for your board to appreciate what is required of them now and in the future. It covers the "people" aspects from appointment to their Board role in the leadership team.

If you are in an executive, non-executive or senior management position, attending this event will keep you up to date with the latest governance standards and enable you to consider how the current environment could impact the structure of your board.

Attending this briefing will help Board members to:

- Know the latest thinking on this topic
- Establish what governance standards are required and the principles behind them (and what is changing)
- Consider the role of the Board and the Board Committees and whether you have the right structure
- Know the key responsibilities of the Chairman, Executives and Non-Executives in their Board role
- Recognise what actions can avoid key roles being vacant and how to handle sudden departures
- Know what the regulator regards as an acceptable appointment process
Cost

£295 / person + VAT £354
A discount of 10% per person is available when booking for 2 or more delegates on the same course in a single booking.

To Book

Call
0203 178 4230
Email
stuart.bull@fstp.co.uk
Online
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In-house Course?

This course works well as an in-house event, contact us to discuss your needs on 0203 178 4230 or email info@fstp.co.uk

Agenda

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<th>Session</th>
<th>Learning Outcomes</th>
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| Latest updates and key initiative affecting Boards | • Basic expectations of governance in financial services firms i.e. what is good governance  
• Background and developments since 2008  
• High level requirements and how SYSC requirements fit within this  
• Requirements of SYSC  
• Company Law and Accountancy changes (FRC, equality and diversity requirements)  
• Organising the Board  
• “Proportionality” and how to ensure the right configuration for your firm |
| Revising Governance structures | • Changes being implemented by SMR and the Certification Regime  
• What are the new requirements of Senior Managers  
• Lessons learnt from previous experience i.e. those already captured by SMR  
• Move from APER to CR and the possible impact on the firm  
• What has to be put in place  
• Prescribed responsibilities  
• Governance mapping  
• T&C requirements  
• Who is expected to lead the SMR project  
• What are reasonable steps |
| The Board as a leadership team | • The role of the Chairman  
• The expectations of Non-Executive Directors  
• The expectations of Executive Directors |
| Techniques for ensuring continuity | • Succession Planning  
• Tenure of Non-Executives  
• Key elements of an appointment process now and going forward (PRA and FCA)  
• Handling surprise departures |